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6HSWHPEHU

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6FKRRO RI (GXFDWLRQ
8QLYHUVLW\ RI 0DVVDFKXVHWWV /RZHOO
&OLQLPFDQ\ BSWRMHVVRU
&XUULFXOXP ,QVWUXFWLRQ

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(GXFDWLRQ
(G ' /DQJXDJH \$UWV /LWHUDF\
8QLYHUVLW\ RI 0DVVDFKXVHWWV /RZHOO
)XOO 6FKRODUVKLS

0 \$ (QJOLVK
6DOHP 6WDWH 8QLYHUVLW\
)XOO 6FKRODUVKLS

\$GGLWLRQDO &RXUVHZRUN 0RGHUDWH 6SHFLDO
8QLYHUVLW\ RI 0DVVDFKXVHWWV %RVWRQ
)XO@KRODUVKLS

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6WRQHKLOO &ROOHJH
3DUWLDO 6FKRODUVKLS

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0DVVDFKXVHWWV 'HSDUWPHQW RI (GXFDWLRQ /LFHQV
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#3ULQFLSDO
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#'LUHFWRU RI \$GXOW 9RFDWLRQDO 7HFKQLFDO 3U

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3UHVHQW
&OLQLFDO \$VVRFLDWH 3URIHVVRU &XUULFXOXP
8QLYHUVLW\ RI 0DVVDFKXVHWWV /RZHOO &ROOHJ

&OLQLFDO \$VVLVWDQW 3URIHVVRU &XUULFXOXP
8QLYHUVLW\ RI 0DVVDFKXVHWWV /RZHOO &ROOHJ

-R K Q %Q& R & UKH&IV H&J RVMR QO9UW

/HFWXUHU &XUULFXOXP , QVWUXFWLRQ
8QLYHUVLW\ RI 0DV&IRFOXH/JHHWRMV(GRXZHDOWQ R Q

\$GMXQFW)DFXOW\ &XUULFXOXP , QVWUXFWLRQ
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\$GMXQFW)DFXOW\ (QJOLVK 'HSDUWPHQW
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7HDFKLQJ \$VVLVWDQW
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3URIHVVLRQDO&UWIRFLDWIRRQ

3URIHVVLRQDO 0HPEHUVKLSV
1HZ (QJODQG /HDJXH RI 0LGGOH 6FKRROV
1DWLRQDO &RXQFLO IRU 7HDFKHUV RI (QJOLVK
, QWHUQDWLRQDO 6RFLHW\ IRU 7HFKQRORJ\ LQ (GXFDW
, QWHUQDWLRQDO 5HDGLQJ \$VVRFLDWLRQ
\$PHULFDQ \$VVRFLDWLRQ RI &ROOHJHV RI 7HDFKHU (GX
0DVVDFKXVHWWV \$VVRFLDWLRQ RI &ROOHJHV IRU 7HDF
1HZ (QJODQG \$VVRFLDWLRQ RI 7HDFKHUV RI (QJOLVK
(DVWHUQ (GXFDWLQDO 5HVHDFK \$VVRFLDWLRQ
0DVVDFKXVHWWV 7HDFKHUV \$VVRFLDWLRQ

-R K Q %Q& R & UKH&IV H&J RMIR QO9UW

3URIHVVLRQDO 3UHVHQWDWLQRQV
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7KH 8VH RI)RUUFH 7HDFKLQJ \$GROHVFHQWV LQ W
3D%\$dHdeU3B1-H y'1E\$QWDWLQRQ
(DVWHUQ (GXFDWLQRQDO 5HVHDUFK \$VVRFLDWLQRQ
WK \$QQXDO &RQIHUHQFH
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2FWREHU

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-R K Q %Q& R & UKH&IV H&J RWMR QO&W&H

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1RYHPEHU

\$OO WKLQJV PXVW EH RQH \$Q DQDO\VLV RI DQGU
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0DUFK

5HJLRQDO6W&QH& RQIHUHQFH 3UHVHQWDWLRQV

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0DVVDFKXVHWWV \$VVRFLDWLRQ RI &ROOHJHV IRU
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ŠtX

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3 U R % R Q R & R Q V X O W L Q J

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EDVLV IRU XQGHUVWDQGLQJ KRZ WR FUHDWH WKH FR
WHDFKLQJ DQG OHDUQLQJ DQG RFD&O LQ WKHLU VFKRI

('8& &XUULFXOXP 3&Q&S&WLYHV
, GHVLJQHG WKLV FRXUVH
7KLV FIR&W&URGXFH VVXGHQWV WR KLVWRULFDO DQG
SHUVSHFWLYHV DQG DVVLVWV VVXGHQWV LQ GHYHOR
VLWXDWHG ZLWKLQ WKH VFKRODUVKLS 7KH FRXUVH
FXUULFXOXP SODQ QJLQQH YDQ X&Q&P&K&R&D&S&V&L&Q&J
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, GHVLJQHG WKLV FRXUVH
7KLV FRXUVH ZLOO SURYLGH DQ XQ&G&H&U&V&M&B&S&E&H&Q&J&W&R&I
LQFOXGLQJ ERWK WUDGLWLRQDO DQG FXOWXUDOOW\\ U
ZLOO OHDUQ ZD\\V WR HQJDJH VVXGHQWV EDVHG RQ
GHYHOR)D&P&QW6XPPHU 6XPPHU 6XPPHU

('8& 7HDFKLQJ 5HDGLQJ :ULW&Q&L&Q&H*UDGHV
, GHVLJQHG WKLV FRXUVH
7KLV FRXUVH IRFXVHG RQ OLWHUDF\ WKHRU\ FRPSRV
PHWKRGV WKDW DOLJQ ZLWK ERWK WKH W&H&O&H&Y&D&Q&W W
LQLWLD OLF&R&R&H& SUR&S&D&P&QJ)DOO

('8& :HEDVHG 7HFKQRORJLHV LQ WK2&Q&H&L&Q&H LQ (Q
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VHWWLQJV ZLWK HGXFDWRRUV LQ WKH 0 (G LQ 5HDGLQ
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('8& &XUULFXOXP 6&X&P&J&Q&Q&OL&Q&H
7KLV FR&P&W&M&K&H& WKH WKHRU\ SUDFWLFH DQG UHVHDUFK
FXUULFXOXP LGH&G&H&G&H&G&R&L&P&S&G&H&P&H&Q&G&H&U&L&Q&J

('8& 3UL&Q&F&R&S&G&X&S&H&U&Q&O&V&L&Q&K
7K&F&R&X&U&V&H LV GHVLJQHG WR KHOS FXUUHQW DQG DVS
NQRZOD&Q&G&H&S&H&U&V&R&Q&D&O DWWULEXWHV FH&Q&W&U&D&O WR LQ
VXSHU&Y&D&O&L&R&Q&S&U&L&Q&J 6S&U&L&Q&J

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7KLV FRXQVHG HUV PDMRU SHUVSHFWLYHV RQ FXUULF
VWUDWHJLHV LQYROYHG LQ WKH SURFHVV RI FKDQJL

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)LWFKEKEXUJ 0LGGOH 6FKRRO
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*UHDWHU /DZUHQFH 7HFKQLFDO +LJK 6FKRRO \$QGRYI
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('8& 7HDFKLQJ 5HDGLQJ LQ WQOL&RIQWHQW \$UHDV
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FDQGLGDWHV DV ZHOO DV &XUULFXW&PYDUQRXWUXFWL
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6SULQJ 6XPPHU 6SULQJ 6XPPHU 6SULQJ 6XPPHU
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6XSHUYLVHG 'DQLHOOH 5LFFL
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.DULQD +DQVHQ
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('8& \$GYDQFHG 26QHOPLLQHD U
7KH (G 6 6HPV&DPLWV WHKHF RXUVH LQ WKH (GXFDWLRQ
SURJUDPV LQ &XUULFXOXP DQG ,QVWUXFWLRQ (GXFD
DQG /DQJXIDQHQGW&H[SHLSLHLQ&HFRVRXIU&VR VR WKDW V
ZKR FRPSOHWH WKH FRXUVQWHJUF&WVHIG&QKHKD YHWIKQ
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WKH I&SHOLQJ 6SULQJ

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RI SUDFWLFH

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UHVHDUFK&D&G&GR&H&V&WDWSRU&RE&D&VQH
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-R K Q %Q& R & UKH&IV H&J RVMR QO&LW&H

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VWXG\ WKHLU HGXFDWLRQDO V\VWHP
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,QWURGXFWLRQ WR 2QOLQH 7HDFKLQJ ZLWK %ODFNEP
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2SHUDWLRQ 6FKRRO 6HFXULW\ &RQIHUHQFH 80/
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\$\$&7\$QQXDO 0H&W&QJDV 0\$
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'HVKS DQGH)R6XQG&RQWLRQ (QWUHSUHQHXUVKLS /RZ
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8QLYH\$FVWVY\WLWLHV
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0 H P E H U H R A X W L Y H O % H R E M H H G R F K R R R Q G X F D W B R J Q V H Q W
0 H P E H U 6 B I & REUDH J D L Q L Q J 3 W H D P I Q W
0 H P E H U 6 B & R U E H D U J D L Q L Q J W H D P
0 H P E H U R I W K M X 0 E 6 F 3 R P 3 P 6 L W 3 W H H H V H Q W
0 H P E H U R I W K H 0 6 3 & R X P E F F X R Q P I P F L D W M U H R H Q M Q W
0 H P E H U R I W K H 0 6 3 V W U X E W R H R P E W E 3 D U H H D H L Q Q W Q J
0 H P E H U 6 B U P S E F D W J D L Q L Q E U E A H D M P }
0 H P E H & R R E H D U J D W Q I D Q J
0 H P E H U R 6 3 W U K L H Y D X Q W P b D Z a ' e i t a X i W p g D Za' e i t a

-R K Q %Q& R & UKH&IV H&J RW&%" Z

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& RD X W K R ULH GH WHKQIF \$Q Q KEDHOS R U W9 LZF&MK ID Q F H O O R U
-D F T X H O L Q H 0 R O R Q & K D\$Q/FVHRCQ B W H69LHFYH 7 H O O R D Q G
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8 Q G H U J U D G X D W H (G X F D W L R Q

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\$VVLVWHG 'HDQ \$QLWD *UHHQZRRG DQG D VPDOO WH
DQG UXQQLQJ WKH *UDGX&XSHH&IE&WR&RQ GRH&Q&U&T D%VULHR&Q
. 6XSHULQWHQGHQWV DQG &XUULFXOXP 'LUHFWRUV
6XSHULQWHQGHQWV IURP DURXQG WKH 6WDWH WR IL
LPSURYLG.XFDWLRQ LQ 0DVVDFKXVHWWV

&ROOHJH RI 2SGH&QF B&W&R&Q

, QYL&WHDG/VURR PQ 9DW&W VHS DUWPHQW)DFXOW\ WR LQW
7UDFN WR WHD'FK\$&QQ 3HDRQJ U'DUP -RQDWKDQ 6LOYHUPDQ
*RUPOH\ 'U 0DWW +XUZLW] DQG 'U 0DXUHHQ 6WDQW

:RUNHG ZLWK \$VVRFLDWH 3(LQRJIOHLWKR UHSQ&GW&PKHDQW RI W
\$QWKRQ\ 6]F]HVLXO WR FUHDWH D 3ZRUNLQJ' DOLJQP
FRQWHQW DUHD (QJOLVK FRXUVHV RIIHUHG DW 80/ W
3URIHVVLRQDO 6WDQGDUGV IRU 7HDFKLQJ IRU WKH L

)DFLOL&W&D&W&H&G&H&D RI &RQWLQXLQJRGX&QDW&W&Q&R&Q&J&E&L&Q&D U
0DMRUV ZKR DUH LQWHUHVWHG LQ FDUHHUV LQ 7HDF

7UDLQHG DQ DGMXQFW LQVWUXFWRU D&Q&GW&H WHDFKLQ
SUDFWLFXP VXSHUYLVLRQ DQG HYDOXDWLRQ

\$VVLVWHG 'HDQ \$QLWD *UHHQZRRG LQ WKH FUHDWLR
7HFKQLFDO 6WDQGDUGV IRU 7HDFKLQJ

&RPSOHWHG D Z&W&Q&W&H&Q 6W&D&W&H&R&L (3URIHVVLRQDO 6
7HDFKHUV

, QYL&W&H&G&W 6SF&R&X&W&H&V&Q&I&R&U 63LLPRPI&R&Q&V&R&D&Q&R&D&Q&W&U&X&F&W&R
/HKRX&Q&G&H&J&K&L&O %H&J&H&D&O

&ROODERUDWHG ZLWK 0DU\ *RUPOH\ (QJOLVK 'HS&DUW
VWXGHQWV VHH&IE&Q&U&Q&J ((QJ&Q&V&H&X&W&L&Q&J &HQWHU
FXUULFX&Q&R&L&V&W&H&G KHU ZLWK W&K&H&W&Q&J&H&Y&J&K&Q&W&H&S&D&U&W&P&I

3DUWLF&LSDWHGWLQH W&K&H&D&W&M&P&L&H&Q&W&R RI (O&P&H&Q&W&D&U\ DQ&C
(GXFDWLRQ G&U&Q&I&W XLSQ&GR&BLE&W&R&R&V&R&R&V&R&R&Q&D&O 6WDQGDUGV IR
7HDFKHUV

5HYLHZ RI &&K&Q&F&L&O IRU WKH \$FFUHGLWDWLRQ RI (GX
6WDQGDUGV

-R K Q %Q& R & UKH&IV H&J RWIR QO9W&H

5 H Y L H Z R I 3\$5 & & Q H U V K L S I R U \$ V V H V V P H Q W R I 5 H D G L
& D U H H U V 75H H V W H, Z H C P V Q J O L V K / D Q J X D J H \$ U W V 4 X H V W
3 \$ 5 & & \$ V V H V V P H Q W

3 D U W L F L7K D H W(1QG Q L Q/ K ' H S D L U W H P H N Q M Q W

2 E V H U D Y H G 7 H D F K L Q J \$ V V L V W D Q W I R U H Y D O X D W L R Q S

& R P P X Q L W \ \$ F W L Y L W L H V 5 H O D W H G W R 3 U R I H V

\$ S S H D U D%QRFVHWRQQ R Q1 16ZHF O L Q L Q J Q H Q S U X E O O P V H Q F W R R O
K W W S V Z Z Z E R V W R Q Q H Z V I O R R A V D O Q R R D O W R V B L D O R V R F U G Q S V
H Q U R O D P V H R Q V Z D W B H D Q G H P L F 8 + % / 9 6 + / - (8 2 < 2 8 8 5 ; 5 * 8) 8

* X H V W 6 S H D N H U

-R K Q %Q& R & UKH&IV H&J RWIR QO9UWH

6HUYHG RQ WKH /DZUHQFH KHQX FGDWFKRQ ZLRFK V * U RX
5\DQ 6RXOLRWLV /DZUHQFH +LJK 6FKRRO 'HSDUWPHQ
1RUWKHUQ (V VH[& RPPXQIWWAQ&HRO&HSHLUHFWRU 0D
0F&DUWK\ \$FDGHPLF 3UHSDUDWLRQ 1RUWKHUQ (V VH
DGYLVH WKH /DZUHQFH WAXFWFHHPV VR QRWXLWZDILHQDFEHOV WX G
WKH FROOHJH OHYHO

6HUYHG: RQ+WKHRDUG RI +LJKHU (GXFDWLRLQH)ORLFVHV * U
%OXPHQLWKRORU RI DQWXF*DWLRLQVDQ /DQH 6HQLRU 'LU
\$OLJQPHQW DQG (QJDJHPHQW DW 0DVVDFKXVHWWV
(GXFDWLRQ DV ZHOO DV SURIHVVRUV DQG 'HDQV IURP
SURIHVVLRQDO VXSSRUW IRU SUDFWLFLQJ WHDFKHUV

&ROOHFWHG DFDGHPLF SHUIRUPDQFH GDWD IRU UHSR
,QWHUQDWLRQDO 5HD5\$LQJ \$VVRFLDWLRQ

&ROOHFWHG DFDGHPLF SHUIRUPDQFH GDWD IRU UHSR
1DWLRQDO &RXQFLQQURQVHDF&K7(UV RI

&ROOHFWHG DFDGHPLF SHUIRUPDQFH GDWD IRU UHSR
&RXQFLO IRU \$FFUHGLWDWLRQ RI 7HD5\$K HUV RI (GXFD

1R\$QFDGHPLF DQG 3URIHVVLRQDO \$FWLYLWLH

:URWH DQG SUHWHHQWRSGRQHJLVIQHJDILUGLQJ XQIXQC
. HGXFIDRWLRQ WKH 6WDWH /HJLVODWXUH

07\$ 'HOHJDWH WR \$QQXDO &RQYHQWLRQ